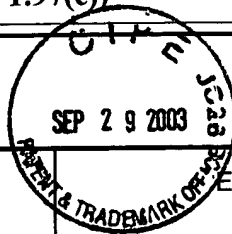


TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15115.081001

In Re Application Of: **Kazuyuki HAYAMIZU et al.**



Serial No.
10/611,684

Filing Date
07/01/2003

Examiner

Group Art Unit

Title: **OPTICAL WAVEGUIDE DEVICE, MANUFACTURING METHOD THEREOF, AND OPTICAL COMMUNICATION APPARATUS**

Address to:

**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(e))

Docket No.

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SEP 29 2003

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Examiner

Group Art Unit

OPTICAL WAVEGUIDE DEVICE, MANUFACTURING METHOD THEREOF, AND OPTICAL COMMUNICATION APPARATUS**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **50-0591** as described below.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
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Signature of Person Mailing Correspondence

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*This certificate may only be used if paying by deposit account.

Dated: 9/24/03

Jonathan P. Osha, Reg. No. 33,986

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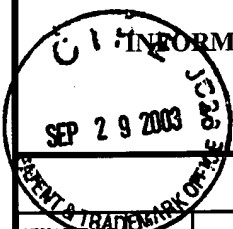
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Houston, Texas 77010

Telephone: (713) 228-8600

Facsimile: (713) 228-8778

CC:

**INFORMATION DISCLOSURE CITATION***(Use several sheets if necessary)*

Docket Number (Optional)

15115.081001

Application Number

10/611,684

Applicant(s)

Kazuyuki Hayamizu et al.

Filing Date

07/01/2003

Group Art Unit

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	A1	4 - 281406	10/07/1992	Japan	G02B	6/12		✓
	A2	8 - 262249	10/11/1996	Japan	G02B	6/13		✓
	A3	11 - 145487	05/28/1999	Japan	H01L	31/02		✓
	A4	2000 - 304951	11/02/2000	Japan	G02B	6/122		✓
	A5	2001 - 154051	06/08/2001	Japan	G02B	6/13		✓

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	A6	Patent Abstracts of Japan, Publication No. 04-281406 dated 10/07/1992 (2 pgs.)
	A7	Patent Abstracts of Japan, Publication No. 08-262249 dated 10/11/1996 (2 pgs.)

EXAMINER

DATE CONSIDERED

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10/611,684

Kazuyuki Hayamizu et al.

FILING

07/01/2003

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		D1	Patent Abstracts of Japan, Publication No. 2001-350051 dated 12/21/2001 (2 pgs.)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.